



Express Mail Label No.: EL 954756075 US  
Date of Deposit: September 12, 2002

ATTORNEY'S DOCKET NO. 000229/70002 GSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Philip J. S. Gladstone and Jeffrey A. Kraemer  
Serial No: 10/071,328  
Confrm No: 2365  
Filed: February 8, 2002  
For: STATEFUL REFERENCE MONITOR

Examiner: Unassigned  
Art Unit: 2122

COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

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Sir:

MAR 03 2004

Transmitted herewith are the following documents:

Technology Center 2100

- [X] Petition to Make Special and Statement Under 37 C.F.R. §1.102(c)  
and MPEP §708.02(XI)
- [X] Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617)720-3500, Boston, Massachusetts.

A check in the amount of \$130.00 is enclosed to cover the petition fee. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully Submitted,

Gary S. Engelson  
Reg. No. 35,128  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
(617)720-3500

Docket No. 000229/70002  
Date: September 12, 2002  
xNDDx



#5

ATTORNEY DOCKET NO.: CIS03-19

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Philip J.S. Gladstone and Jeffrey A. Kraemer  
Serial No.: 10/071,328  
For: STATEFUL REFERENCE MONITOR  
Filing Date: February 8, 2002  
Examiner: George Davis  
Art Unit: 2121

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Certificate of Mailing Under 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450 on:

Date: February 25, 2004

By: Tammy L. Rosado  
(Typed or printed name of person mailing  
Document, whose signature appears below)

Signature: *Tammy L. Rosado*

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

RESUBMISSION OF PETITION TO MAKE SPECIAL

Sir:

In a teleconference with Examiner Davis today, our office determined that the above-referenced Petition to Make Special, originally filed and stamped received by OIPE on September 12, 2002, was not in the file. We respectfully ask that the enclosed petition be accepted for entry and considered with due dispatch.

U.S. Application No.: 10/071,328

Attorney Docket No.: CIS03-19

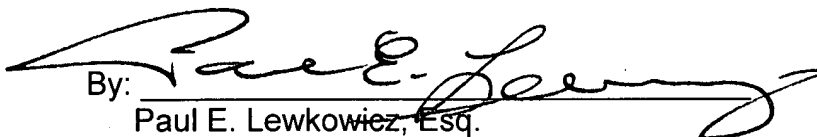
- 2 -

As the fee was originally paid by prior counsel in 2002, applicant believes no further fee is necessary.

If there is a fee occasioned by this response, including an extension fee, please charge any deficiency to Deposit Account No. 50-0901.

If the enclosed papers or fees are considered incomplete, contact the undersigned collect at (508) 366-9600, in Westborough, Massachusetts.

Respectfully submitted,

By: 

Paul E. Lewkowiez, Esq.  
U.S.P.T.O. Registration No.: 44,870  
Attorney for Applicant  
CHAPIN & HUANG, L.L.C.  
Westborough Office Park  
1700 West Park Drive  
Westborough, Massachusetts 01581  
Telephone: (508) 366-9600  
Facsimile: (508) 616-9805

Attorney Docket No.: CIS03-19

Dated: February 6, 2004



Express Mail Label No.: EL 954756075 US  
Date of Deposit: September 12, 2002

ATTORNEY'S DOCKET NO. Q00229/70002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Philip J. S. Gladstone and Jeffrey A. Kraemer  
Serial No.: 10/071,328  
Confirm No.: 2365  
Filed: February 8, 2002  
For: STATEFUL REFERENCE MONITOR

Examiner: Unassigned  
Art Unit: 2122

COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

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COPY

PETITION TO MAKE SPECIAL AND STATEMENT UNDER 37 C.F.R. §1.102(c) AND  
MPEP §708.02(XI)

Applicant hereby petitions the Commissioner of Patents to make the above-captioned U.S. patent application special on the grounds that the invention contributes to countering terrorism.

One of the major problems facing government agencies who seek to increase their reliance on computerized data and information infrastructures, and computerized data processing, including the agencies and departments responsible for homeland security, is the difficulty in securing those systems against unanticipated cyberterror attack. Such an attack, launched against "911" computers and other critical police and fire information systems clearly constitute "activities that – (A) involve violent acts or acts dangerous to human life that are a violation of the criminal laws of the United States or of any State, or that would be a criminal violation if committed within the jurisdiction of the United States or of any State."

The present application is directed to technology that solves this very urgent and important problem. This technology, when deployed in critical systems, adaptively protects

those systems from cyberterror threats, including threats not yet specifically identified and whose parameters have not yet been defined.

The present invention, when deployed in the critical systems of agencies and sub-agencies charged with responsibility for taking actions to prevent, reduce the effects of and contain international terrorism, therefore contributes to countering terrorism by protecting those critical systems, so as to prevent possible loss of life or property during a response to a violent act.

Granting of this petition and expedited examination of the present application is hereby requested. If it is believed for any reason that this petition cannot be granted, or if any questions arise, the office is requested to contact the undersigned at the number listed below.

The fee due under 37 C.F.R. §1.17(h) is enclosed. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

By: 

Gary S. Engelson, Reg. No. 35,128  
WOLF, GREENFIELD & SACKS, P.C.  
Federal Reserve Plaza  
600 Atlantic Avenue  
Boston, MA 02210  
(617) 720-3500

Attorney Docket No.: 000229/70002  
Date: September 12, 2002

Wolf, Greenfield & Sacks, P.C.

PTFM Account  
600 Atlantic Avenue  
Boston, MA 02210

MAR 01 2002

CITIZENS BANK  
MASSACHUSETTS  
5-7017/2110

CHECK NO. 440012236

PAY One Hundred Thirty AND 00/100 DOLLARS

DATE

September 12, 2002

AMOUNT

\$ 130.00

TO THE ORDER OF Commissioner of Patents & Trademarks

*Patricia J. O'Brien*  
AUTHORIZED SIGNATURE

000229/70002

⑈0012236⑈ ⑆211070175⑆ 1108202451⑈

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Date September 12, 2002 Payee Commissioner of Patents & Trademarks

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Invoice	Invoice Date	Client	Matter	Amount
	9/12/02	000229	70002	130.00

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Total Drawn from the PTFM Account No. 1108202451:

130.00

EL954756075US

Serial No. 10/071,328 File No. 000229/70002 By: GSE:plm

Title: STATEFUL REFERENCE MONITOR

Application of Philip J. S. GLADSTONE et al. WGS Date: NDD

The U.S. PTO Mail Room acknowledges receipt of the following on the date stamped hereon:

- |   |   |
|---|---|
| <input checked="" type="checkbox"/> Mailing by Express Mail (37 CFR 1.10)     | <input type="checkbox"/> Provisional Application Cover Sheet        |
| Express Mail Label No. EL954756075US  | Multiple Dependent Claim Fee Sheet                                  |
| <input type="checkbox"/> Patent Application                                   | Inf. Disc. Statement, PTO Form 1449                                 |
| <input type="checkbox"/> Non-provisional <input type="checkbox"/> Provisional | <input type="checkbox"/> References Cited                           |
| Incl. _____ pages, ( _____ pgs) Specification,                                | <input type="checkbox"/> Priority Document(s) # _____               |
| ( _____ pgs) Abstract, ( _____ pgs) Claims ( _____ # claims)                  | <input type="checkbox"/> Copy of Notice to File Missing Parts       |
| <input type="checkbox"/> Design Patent Application                            | <input type="checkbox"/> Amendment/Response                         |
| <input type="checkbox"/> Declaration(s) _____                                 | <input type="checkbox"/> Petition for Ext. of Time (x2)             |
| <input type="checkbox"/> Drawings _____ Sheet(s) (FIGS. _____)                | <input type="checkbox"/> Issue Fee Transmittal                      |
| <input type="checkbox"/> Formal <input type="checkbox"/> Informal             | <input type="checkbox"/> Letter to Official Draftsperson            |
| <input type="checkbox"/> Utility Patent Application Transmittal               | <input type="checkbox"/> Notice of Appeal                           |
| <input type="checkbox"/> Fee calculation sheet (x2)                           | <input type="checkbox"/> Brief (x3)                                 |
| <input type="checkbox"/> CPA Transmittal                                      | <input checked="" type="checkbox"/> Check for \$ 130. Check # 12236 |
| <input type="checkbox"/> Verified Statement claiming small entity status      | <input type="checkbox"/> Transmittal Letter (x2)                    |
| <input type="checkbox"/> Assignment and Cover Sheet                           | <input type="checkbox"/> Cert. of Mailing under 37 CFR 1.8(a)       |

☒ Other Petition to Make Special Under 37 C.F.R. 1.102(c) and MPEP 708.02(XI)

DATE MAILED 9/12/02



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COPY

Serial No. 10/071.328

File No. 000229/70002

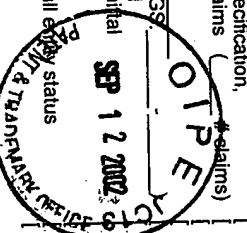
By: GSE:plm

Title: STATEFUL REFERENCE MONITOR

Application of Philip J. S. GLADSTONE et al. WGS Date: NDD

The U.S. PTO Mail Room acknowledges receipt of the following on the date stamped hereon:

☒ Mailing by Express Mail (37 CFR 1.10)  
Express Mail Label No. EI95475602505  
☐ Patent Application  
☐ Non-provisional ☐ Provisional  
Incl. \_\_\_\_\_ pages, ( \_\_\_\_\_ pgs) Specification,  
( \_\_\_\_\_ pgs) Abstract, ( \_\_\_\_\_ pgs) Claims  
☐ Design Patent Application  
☐ Declaration(s) \_\_\_\_\_  
☐ Drawings \_\_\_\_\_ Sheet(s) (FIGS. \_\_\_\_\_)  
☐ Formal ☐ Informal  
☐ Utility Patent Application Transmittal  
☐ Fee calculation sheet (x2)  
☐ CPA Transmittal  
☐ Verified Statement claiming small entity status  
☐ Assignment and Cover Sheet  
☒ Other Petition to Make Special Under 37 C.F.R. 1.102(c)  
and MPEP 708.02(XI)



DATE MAILED 9/12/02



2121

ATTORNEY DOCKET NO.: CIS03-19

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Philip J.S. Gladstone and Jeffrey A. Kraemer  
Serial No.: 10/071,328  
For: STATEFUL REFERENCE MONITOR  
Filing Date: February 8, 2002  
Examiner: George Davis  
Art Unit: 2121

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Certificate of Mailing Under 37 C.F.R. §1.8

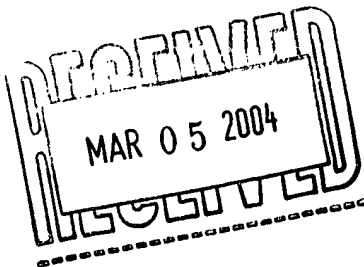
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450 on:

Date: February 25, 2004

By: Tammy L. Rosado  
(Typed or printed name of person mailing  
Document, whose signature appears below)

Signature: *Tammy L. Rosado*

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450



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Technology Center 2100

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- 2 -

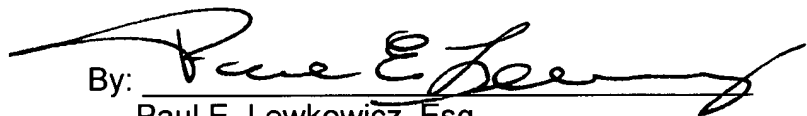
Enclosed is/are:

- [x] Transmittal Letter (this form, 2 pages, in duplicate), Total Pages: 4;
- [x] Resubmission of Petition to Make Special, Total Pages: 2;
- [x] Copy of the Originally Submitted Transmittal, Total Pages: 1;
- [x] Copy of the Originally Submitted Petition to Make Special and Statement, Total Pages: 2;
- [x] Copy of the Originally Submitted Postcard, Total Pages: 1;
- [x] Copy of the Originally Submitted Check, Total Pages: 1
- [x] Copy of the Originally Submitted Express Mail Label, Total Pages: 1
- [x] Return Receipt Pre-paid Postcard (in duplicate), Total Postcards: 2;

If there is a fee occasioned by this response, including an extension fee, please charge any deficiency to Deposit Account No. 50-0901.

If the enclosed papers or fees are considered incomplete, contact the undersigned collect at (508) 366-9600, in Westborough, Massachusetts.

Respectfully submitted,

By: 

Paul E. Lewkowicz, Esq.  
U.S.P.T.O. Registration No.: 44,870  
Attorney for Applicant  
CHAPIN & HUANG, L.L.C.  
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1700 West Park Drive  
Westborough, Massachusetts 01581  
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Facsimile: (508) 616-9805

Attorney Docket No.: CIS03-19

Dated: February 25, 2004